

O-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

45866-0001US1

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/520972INTERNATIONAL APPLICATION NO.
PCT/EP2003/007517INTERNATIONAL FILING DATE
10 July 2003 (10.07.2003)PRIORITY DATE CLAIMED
11 July 2002 (11.07.2002)

TITLE OF INVENTION

**METHOD FOR THE PRODUCTION OF DEVICES FOR STORING ELECTRIC POWER BASED ON RECHARGEABLE
LITHIUM POLYMER CELLS**

APPLICANT(S) FOR DO/EO/US

NAARMANN, Herbert; KRUGER, Franz Josef

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EL 975360506 US**
23. ☒ Other items or information:

Courtesy copy of International Publication Number WO 2004/008559 A2

Application Data Sheet

Check for fee (# 1051253)

Return receipt postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|--|--------------|--|------------|--|-------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 10/520972 (not yet assigned) | | INTERNATIONAL APPLICATION NO. PCT/EP2003/007517 | | ATTORNEY'S DOCKET NUMBER 45866-0001US1 | |
| 24. The following fees are submitted: | | | | Applicant use | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee \$300.00 | | | | \$ \$300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee \$200.00 | | | | \$ \$200.00 | |
| <input checked="" type="checkbox"/> c) Search fee \$500.00 | | | | \$ \$500.00 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ \$1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | | |
| 57 - 100 = | -43 /50 = | 0 | x \$250.00 | \$ \$0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 27 - 20 = | 7 | x \$50.00 | \$ \$350.00 | |
| Independent claims | 1 - 3 = | 0 | x \$200.00 | \$ \$0.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | \$ \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ \$1,350.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ \$675.00 | |
| SUBTOTAL = | | | | \$ \$675.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ \$0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ \$675.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ \$675.00 | |
| Amount to be refunded: | | | | \$ | |
| Amount to be charged: | | | | \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ \$675.00 to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is | | | | | |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0573 . A duplicate copy of this sheet is enclosed. | | | | | |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
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| | | | | 30,480 | |
| | | | | REGISTRATION NUMBER | |

PATENT

Attorney Docket No.: 45866-0001US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of Herbert Naarmann *et al.*

Serial No.: (not yet assigned) (Intl. PCT/EP2003/007517)

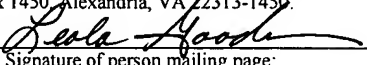
Filed: (not yet assigned) (Intl. July 10, 2003)

For: METHOD FOR THE PRODUCTION OF DEVICES FOR
STORING ELECTRIC POWER BASED ON RECHARGEABLE
LITHIUM POLYMER CELLS


ENGLISH LANGUAGE TRANSLATION
OF INTERNATIONAL APPLICATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The present application is a 371 of PCT/EP2003/007517. Pursuant to 35 U.S.C.
371(c)(2), submitted herewith is an English language translation of the International
Application as filed.

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| <p align="center">CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10</p> <p>EXPRESS MAIL Mailing Label Number: <u>EL 975360506 US</u> Date of Deposit: <u>11 January 2005</u></p> <p>I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p> Signature of person mailing page:</p> <p><u>Leola P. Goodman</u> Type or print name of person</p> |
|---|

Respectfully submitted,

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